Case No. 10322/33

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

| My | residence, | post office | address and | citizenship | are as stated | below next to my | name. |
|----|------------|-------------|-------------|-------------|---------------|------------------|-------|
|----|------------|-------------|-------------|-------------|---------------|------------------|-------|

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>ULTRATHIN DIELECTRIC OXIDE FILMS</u>, the specification of which: COPY OF PAPERS OPIGINALLY FILED is attached hereto. 冈 was filed on January 9, 2002 as Application Serial No. 10/044,831. and was amended on (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s) (Day/Month/Year Filed) Yes No (Country) (Number) I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below: (Filing Date) (Application Serial No.) I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application: (Filing Date) (Application Serial No.) (Status-patented, pending, abandoned) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Inventor's Signature Patrick J. Hentges Full name of first inventor 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 Residence United States Citizenship 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 Post Office Address **BRINKS HOFER GILSON & LIONE** P.O. Box 10395

Chicago, IL 60610

(312) 321-4200

| Inventor's Signature | Date: | | |
|------------------------------------|--|--|--|
| Full name of second joint inventor | Laura H. Greene | | |
| Residence | 510 West Michigan Ave., Urbana, IL 61801 | | |
| Citizenship | United States | | |
| Post Office Address | 510 West Michigan Ave., Urbana, IL 61801 | | |
| Inventor's Signature | Date: | | |
| Full name of third joint inventor | Margaret Mary Pafford | | |
| Residence | 603 South Walnut Street, Apartment 1, Urbana, IL 61801 | | |
| Citizenship | United States | | |
| Post Office Address | 603 South Walnut Street, Apartment 1, Urbana, IL 61801 | | |
| Inventor's Signature | Date | | |
| Full name of fourth joint inventor | Glenn Westwood Date: | | |
| Residence | | | |
| Citizenship | 610A West Oregon Ave., Urbana, IL 61801 United States | | |
| Post Office Address | | | |
| Fost Office Address | 610A West Oregon Ave., Urbana, IL 61801 | | |
| Inventor's Signature | Date: | | |
| Full name of fifth joint inventor | Walter G. Klemperer | | |
| Residence | 1902 Maynard Drive, Champaign, IL 61822 | | |
| Citizenship | United States | | |
| Post Office Address | 1902 Maynard Drive, Champaign, IL 61822 | | |

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plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ULTRATHIN DIELECTRIC OXIDE FILMS, the specification of which: **ORIGINALLY FILED** is attached hereto. 冈 was filed on January 9, 2002 as Application Serial No. 10/044,831. and was amended on _____ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s) П (Day/Month/Year Filed) Yes No (Country) (Number) I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below: (Filing Date) (Application Serial No.) I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application: (Filing Date) (Application Serial No.) (Status-patented, pending, abandoned) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Inventor's Signature Patrick J. Hentges Full name of first inventor 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 Residence United States Citizenship 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 Post Office Address **BRINKS HOFER GILSON & LIONE**

P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

Case No. 10322/33

| Inventor's Signature | Laura Hi Greene | Date: | 5/7/02 | |
|---|--|-------------|--|--|
| Full name of second joint inventor | Laura H. Greene | - | | |
| Residence | 510 West Michigan Ave., Urbana, IL 61801 | | | |
| Citizenship | United States | | | |
| Post Office Address | 510 West Michigan Ave., Urbana, IL 61801 | | | |
| Inventor's Signature | | Dat | e: | |
| Full name of third joint inventor | Margaret Mary Pafford | | ************************************** | |
| Residence | 603 South Walnut Street, Apartment 1, Urbana | a, IL 61801 | | |
| Citizenship | United States | | | |
| Post Office Address | 603 South Walnut Street, Apartment 1, Urbana, IL 61801 | | | |
| Inventoria Signatura | | Dat | ۵۰ | |
| Inventor's Signature Full name of fourth joint inventor | Glenn Westwood | Dat | С. | |
| Residence | 610A West Oregon Ave., Urbana, IL 61801 | | | |
| Citizenship | United States | | | |
| Post Office Address | 610A West Oregon Ave., Urbana, IL 61801 | | | |
| | | | | |
| Inventor's Signature | | Dat | e: | |
| Full name of fifth joint inventor | Walter G. Klemperer | | | |
| Residence | 1902 Maynard Drive, Champaign, IL 61822 | | | |
| Citizenship | United States | | | |
| Doct Office Address | 1002 Maymard Drive Champaign II 61822 | | | |

JUN U 3 2002 -

Citizenship

Post Office Address

DECLARATION FOR PATENT APPLICATION

As a below named investor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ULTRATHIN DIELECTRIC OXIDE FILMS, the specification of which: COPY OF FAPE is attached hereto. **ORIGINALLY FILEL** was filed on January 9, 2002 as Application Serial No. 10/044,831. \boxtimes and was amended on ____ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s) (Day/Month/Year Filed) Yes No (Country) (Number) I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below: (Filing Date) (Application Serial No.) I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application: (Filing Date) (Status-patented, pending, abandoned) (Application Serial No.) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Date: Inventor's Signature Patrick J. Hentges Full name of first inventor 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 Residence

> BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

510 East Michigan Ave., Apartment 32, Urbana, IL 61801

United States

| inventor's Signature | Date: |
|--|--|
| Full name of second joint inventor | Laura H. Greene |
| Residence | 510 West Michigan Ave., Urbana, IL 61801 |
| Citizenship | United States |
| Post Office Address | 510 West Michigan Ave., Urbana, IL 61801 |
| Inventor's Signature Full name of third joint inventor | Margaret Mary Pafford Date: 5/7/02 Margaret Mary Pafford MARS |
| Residence | 603 South Walnut Street, Apartment 1, Urbana, IL 61801 1211 Polo Run Drive, Yurd |
| Citizenship | United States PA 19067 |
| Post Office Address | 603 South Walnut Street, Apartment 1, Urbana, IL 61801- |
| | 1211 Polo Run Drive, Yardley, PA 19067 MA |
| Inventor's Signature | |
| Full name of fourth joint inventor | Glenn Westwood |
| Residence | 610A West Oregon Ave., Urbana, IL 61801 |
| Citizenship | United States |
| Post Office Address | 610A West Oregon Ave., Urbana, IL 61801 |
| Inventor's Signature | Date: |
| Full name of fifth joint inventor | Walter G. Klemperer |
| Residence | 1902 Maynard Drive, Champaign, IL 61822 |
| Citizenship | United States |
| Post Office Address | 1902 Maynard Drive, Champaign, IL 61822 |
| | |

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JUN 0 3 2002 DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

| My residence, po | st office address and citizen | ship are as stated below next to my name. | | | |
|--|--|--|---|---|------------------------|
| plural names are | listed below) of the subject | ventor (if only one name is listed below) of matter which is claimed and for which a positive \underline{S} , the specification of which: | atent is sought on the COPY OF P | invention ent | or (if |
| | is attached hereto. ORIGINALLY FILED | | | Y FILED | |
| \boxtimes | was filed on January 9, 200 | 02 as Application Serial No. <u>10/044,831</u> . | | | |
| | and was amended on | _ (if applicable). | | • | |
| | nt I have reviewed and und amendment referred to above | derstand the contents of the above-identified ve. | l specification, includ | ing the claims | s, as |
| I acknowledge the Regulations, § 1.3 | · · · · · · · · · · · · · · · · · · · | tion which is material to the patentability a | as defined in Title 37 | , Code of Fed | leral |
| inventor's certific United States, lis | cate or § 365(a) of any PC ted below and have also ide | der 35 U.S.C. § 119(a)-(d) or § 365(b) of a T International application which designat entified below, by checking the box, any for naving a filing date before that of the applica | ted at least one count eign application for p | try other than atent or invent | the |
| Prior Foreign App | plication(s) | | <u>Pr</u> | iority Claimed | <u>1</u> |
| | | | | | |
| (Number) | (Country) | (Day/Month/Year Filed |) | Yes N | lo |
| I hereby claim the | e benefit under 35 U.S.C. § | 119(e) of any United States provisional appl | ication(s) listed below | <i>/</i> : | |
| (Applica | tion Serial No.) | (Filing Date) | | | |
| application design is not disclosed in U.S.C. § 112, I a | nating the United States, lis in the prior United States or acknowledge the duty to di | § 120 of any United States application(s), ted below and, insofar as the subject matter PCT International application in the manner sclose information which is material to pat late of the prior application and the national | of each of the claims er provided by the first tentability as defined | of this applica st paragraph of in 37 CFR § 3 | ation of 35 1.56 |
| (Applica | tion Serial No.) | (Filing Date) | (Status-patented, pend | ling, abandone | ed) |
| belief are believe the like so made | d to be true; and further the are punishable by fine or in | rein of my own knowledge are true and that at these statements were made with the known prisonment, or both, under Section 1001 of dize the validity of the application or any patents. | wledge that willful fal f Title 18 of the Unite | lse statements | and |
| Inventor's Signat | | | Date: | | |
| | Full name of first inventor Patrick J. Hentges Residence 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 | | | | |
| Residence 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 United States | | | | | |
| Post Office Address 510 East Michigan Ave., Apartment 32, Urbana, IL 61801 | | | | | |
| | | BRINKS HOFER GILSON & LIONE | | | |

P.O. Box 10395 Chicago, IL 60610 (312) 321-4260

_ 1 _

| Inventor's Signature | Da | ate: | |
|---|--|---------|----------|
| Full name of second joint inventor | Laura H. Greene | | |
| Residence | 510 West Michigan Ave., Urbana, IL 61801 | | |
| Citizenship | United States | | |
| Post Office Address | 510 West Michigan Ave., Urbana, IL 61801 | | |
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| Citizenship | United States | | |
| Post Office Address | 603 South Walnut Street, Apartment 1, Urbana, IL | 61801 | |
| Inventor's Signature Full name of fourth joint inventor | Glenn Westwood | _ Date: | 05/03/02 |
| Residence | 610A West Oregon Ave., Urbana, IL 61801 | | |
| Citizenship | United States | | |
| Post Office Address | 610A West Oregon Ave., Urbana, IL 61801 | | |
| Inventor's Signature Full name of fifth joint inventor | Walker G. Klemperer | _ Date: | 05/09/02 |
| • | 1902 Maynard Drive, Champaign, IL 61822 | | |
| Residence | United States | | |
| Citizenship | 1902 Maynard Drive, Champaign, IL 61822 | | |
| Post Office Address | 1902 Mayhard Diffe, Champaigh, IL 01022 | | |

aura H. Greene, Margaret Mary Pafford, Glenn Westwood, and Walter G. Klemperer

ULTRATHIN DIELECTRIC OXIDE FILMS

POWER OF ATTORNEY

| The specification of the | above-identified | patent | application: |
|--------------------------|------------------|--------|--------------|
| is attached hereto | | | |

was filed on January 9, 2002 as application Serial No. 10/044,831

I hereby revoke all previously granted powers of attorney in the above-identified patent application and appoint the following attorneys to prosecute said patent application and to transact all business in the Patent and Trademark Office connected therewith:

Paul E. Rauch, Ph.D. - 38,591

Anthony P. Curtis, Ph.D. - 46,193

Jonathan P. Taylor, Ph.D. - 48,338

Jonathan M. B. Blanchard, Ph.D. - 48,927

Please address all correspondence and telephone calls to Paul E. Rauch, Ph.D. in care of:

Brinks Hofer Gilson & Lione P.O. Box 10395 Chicago, IL 60610 (312)321-4200



The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from Del Kranz and Roger VanHoy as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

Board of Trustees of University of Illinois, a not-for-profit corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

| \boxtimes | An assignment from the inventor(s) of the patent application identified above, a copy of which is attached hereto. OR | | |
|-------------|--|--|--|
| | An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel, frame OR | | |
| | A chain of title | from the inventor(s), of the patent application identified above, to the current assignee as shown below: | |
| | 1. | From To: The document was recorded in the Patent and Trademark Office at Reel, frame, or a copy thereof is attached. | |
| • | 2. | From To: The document was recorded in the Patent and Trademark Office at Reel, frame, or a copy thereof is attached. | |
| | | Additional documents in the chain of title are listed on a supplemental sheet. | |
| | The undersigned | has reviewed the assignment or all the documents in the chain of title of the patent application identifi | |

ied above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature

Name: Title: Rev Dec -99

Vice President for Administration, Comptroller

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